

15% CARRYOVER LIMITATION

According to Section 1127 of the *No Child Left Behind Act of 2001*, not more than 15 percent of Title I, Part A funds allocated to a district for any fiscal year may remain available for obligation for one additional fiscal year. This means that a district may **not** carryover more than 15% of its old year funds as of September 30.

The state Title I office may, once every 3 years, waive the percentage limitation if—

- (1) The agency determines that the district's request is reasonable and necessary; or
- (2) Supplemental appropriations for Title I, Part A becomes available.

The 15% carryover limitation does not apply to a district that receives less than \$50,000 in Title I, Part A funds for any fiscal year.

STEPS FOR WAIVER REQUEST AND APPROVAL

1. The district Title I Coordinator must request a waiver in writing (email or hard copy) to the state Title I consultant. The waiver must state that the district requests a waiver of the 15% carryover limitation for FY16 fiscal year. The request must contain the reason for the large carryover.
2. The consultant verifies that the district has **not** had a waiver of the limitation within the past 3 years.
3. If appropriate, the state Title I consultant approves the waiver in writing (email or hard copy) stating the reason for the carryover. The approval also states that since the request appears to be reasonable, the waiver is approved. Please use the *Approval of Waiver* below. The approval should be copied to the Audit and Compliance Branch Manager and Thelma Hawkins.
4. Thelma Hawkins and the Audit and Compliance Branch Manager will maintain an ongoing list of waiver approvals.

“APPROVAL OF WAIVER” Letter

(date of approval)

In accordance with Section 1127 of the *No Child Left Behind Act of 2001*, your district requested a waiver regarding the fifteen percent (15%) allowed carryover limitation of FY2016 Title I, Part A funds beyond the cutoff date of September 30, 2016.

Because this appears to be a reasonable request, the waiver of the 15% carryover limitation is approved. Unless otherwise authorized, the waiver may be granted only once every three years.

If you have any questions concerning this matter, please call me at 502-564-3791.

(name of consultant approving waiver)

TITLE I WAIVERS BY FISCAL YAR
(15% CARRYOVER LIMITATION)

<u>FY 1996 Grant Year</u>	<u>FY 2007 Grant Year</u>	<u>FY 2015 (310A)</u>
Bellevue Independent	Meade County	Metcalfe County
Crittenden County	Scott County (working on)	Elizabethtown Independent
Lawrence County		Rockcastle County
Pikeville Independent	<u>FY 2008 Grant Year</u>	Christian County
	Bourbon County	Fairview Independent
<u>FY 1997 Grant Year</u>		
Owen County	<u>FY 2009 Grant Year</u>	
	None	
<u>FY 1998 Grant Year</u>		
Murray Independent	<u>FY 2010 Grant Year</u>	
Fayette County	Breckenridge County	
	Shelby County	
<u>FY 1999 Grant Year</u>		
Floyd County	<u>FY 2011 Grant Year (SY 10-11)</u>	
	Lincoln County	
<u>FY 2000 Grant Year</u>	Mercer County	
None	Magoffin County	
<u>FY 2001 Grant Year</u>	<u>FY 2012 Grant Year (SY 11-12)</u>	
Ballard County	Clay County	
Campbell County	Scott County	
Gallatin County	Washington County	
	Woodford County	
<u>FY 2002 Grant Year</u>		
Campbellsville Independent	<u>FY 2013 Grant Year (SY 12-13)</u>	
Fulton Ind.	Bourbon County	
Pike County	Caldwell County	
Somerset Independent	Daviess County	
	Franklin County	
<u>FY 2003 Grant Year</u>	Hart County	
Breathitt County	Jessamine County no request	
Madison County	penalty of \$3,762 reduced	
Simpson County	#3104	
	Kenton County	
<u>FY 2004 Grant Year</u>	Lincoln County	
Murray Independent	Madison County	
Jenkins Independent	Marion County	
Letcher County	Muhlenberg County	
	Paducah Independent	
<u>FY 2005 Grant Year</u>	Robertson County	
None	Scott County	
<u>FY 2006 Grant Year</u>	<u>FY 2014 Grant Year (3104)</u>	
Clinton County	Caverna Independent	
Washington County	Murray Independent	
	Muhlenberg County	